| DENTISTS PROFESSIONA | LIABILITY APPLICATION (CLAIMS MADE COVERAGE) |
|----------------------|--|
|----------------------|--|

| 1)           | Full Name of Applicant:   | Degree:  |    |
|--------------|---|--|----|
| 2)           | Principal Practice Address:   |  |    |
| 3)           | Additional Practice Locations:  |  |    |
| 4)           | Home Address:   |  |    |
| 5)           | Website Address   |  |    |
| 6)           | Social Security #:  | 7) DEA#  |    |
| 8)           | Date of Birth: 9) Place of Birth:   |  |    |
| 10)          | Are you a U.S. Citizen? CYES CNO<br>If NO, please indicate your status and date of entry into the Ur  | ited States:   |    |
| 11)          | From what Dental School did you graduate?<br>City, State and Country of Dental School<br>Degree:<br>If foreign medical school graduate, provide the date you bega | Year of Graduation:<br>n your practice in the United States: |    |
| 1 <b>2</b> ) | Provide a detailed summary of where you have practiced since  | e completing your training:                                  |    |
|              | Address/City/State  | Country From   | To |
| 13)          | ) Indicate memberships in professional societies:   |  |    |
| 14)          | ) List the States and License numbers where you practice.   |  |    |



| 15) Type of Practice (Check all that a | pply)    |                                       |
|--|----------|---------------------------------------|
| 🗌 Individual                           | Employee | Member of Multi-person Corp or Assoc* |
| Individual Corporation *               |          | Partnership                           |
| Other                                  |          |                                       |
| * Specify name of entity:              |          |                                       |

16) Do you want coverage for the entity named above? CYES 🔿 NO

- 17) If you practice other than as an employee, unincorporated solo practitioner or independent contractor, please list the names of all dentists or oral surgeons practicing under the entity named above.
- 18) Do you practice with any dentists not named above? O YES O NO

If yes, provide the name of the dentists(s) and relationship to your practice:

19) Please provide the names of all facilities that you practice at and your interest in each facility.

| Name of Clinic or Facility and Location | Interest (Owner, Partner, Employee)   |
|---|---------------------------------------|
|   | · · · · · · · · · · · · · · · · · · · |
|   |                                       |
|   |                                       |
|   |                                       |

20) Are you seeking coverage for your work at all of the above facilities?

🔿 YES 🔿 NO

If No, please list those facilities for which you do not require coverage and explain why coverage is not needed.



21) Please provide the number of professionals you employ or contract with and whether or not they carry their own individual medical malpractice coverage.

|                                |   |                                       | Carry their own |
|--------------------------------|---|---------------------------------------|-----------------|
|                                | <u># Employed</u>                       | <u># Contracted</u>                   | Med Mal policy? |
| Dentists (other than yourself) |   |                                       |                 |
| Dental Assistants              |   |                                       |                 |
| Dental Technicians             | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |                                       | 🔿 YES 🔿 NO      |
| Hygienists                     |   |                                       | C YES C NO      |
| Nurse Anesthetists             |   | · · · · · · · · · · · · · · · · · · · | C YES C NO      |
| Anesthesiologists              | >                                       | · · · · · · · · · · · · · · · · · · · |                 |
| Other:                         |   |                                       | 🔿 YES 🔿 NO      |

Provide a description of duties, in detail, including extent supervised on separate page and attach protocols. Please attach copies of dec pages on above professionals that carry their own malpractice policies.

22) Are all of the above individuals licensed in accordance with applicable state and federal regulations? CYES C NO

|     | If No, please provide detailed explana                             | ion below.   |                 |
|-----|--|--|-----------------|
| 23) | a. What is your dental specialty?                                  |  |                 |
|     | b. Do you limit your practice to the ab<br>If No, provide details: | ove specialty?   | ⊖ YES ⊖ NO      |
| 24) | Are you American Dental Board certifi                              | ed in any speciality?  |                 |
|     | If Yes, provide the Board(s) in which ye                           | ou are certified:  |                 |
|     | (  |  | Year:           |
| 25) | What is your total annual revenue?                                 | \$100,000 or less       \$250,000 -         \$100,001 - \$250,000       \$500,000 or |                 |
| 26) | Average weekly patient load:                                       | 27) Average number of hours you prac   | tice each week: |
| 28) | Please provide the approximate perce                               | ntage of your practice in the following:   |                 |
|     | Bone Grafting  |  |                 |
|     | Cosmetic Dentistry   |  |                 |
|     | Bonding  | Veneers  |                 |
|     | Enamel Shaping   | Whitening with lasers  | <u>}</u>        |
|     | Full Mouth Restoration- Cosme                                      | ic Only  |                 |

### 28) Continued

|                               | Botox, collagen and fillers) (describ  | ie)                 |
|-------------------------------|--|---------------------|
| Endodontics                   |  |                     |
| Single Rooted                 | Prosthetics                            |                     |
| Multi Rooted                  | Fixed                                  |                     |
| Sargenti Root Canal Method    | Removable                              |                     |
| General Denistry              | Sleep Apnea                            |                     |
| Extractions of Impacted Teeth | Surgery                                |                     |
| Root Canal                    | Therapy                                |                     |
| Simple Extractions Only       | Surgery                                | N                   |
|                               | Facial - Elective Cosmetic             |                     |
| Implanta                      | Head and Neck                          | ~~~~~~              |
| Implants<br>Restoration       | Oral/maxillofacial                     |                     |
| Placement                     | Outside oral/maxillofacial             | region              |
| Placement                     | * Please provide a complete list of a  | all surgical proced |
| Microneurosurgical Procedures | performed below.                       |                     |
| Oral Pathology                | TMJ                                    |                     |
| Oral Radiology                | Non-surgical                           |                     |
| Orthodontics                  | Surgery                                |                     |
| Orthognathic Procedures       | Other (describe)                       | ×                   |
| Pediatric Dentistry           | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~ |                     |
| Periodontics                  | ~ ·                                    | ······              |
| Prosthodontics                | Total                                  | 100%                |

#### \*List of Surgical Procedures:

| 29) Do you use written informed consent do  | cuments for all pro   | cedures?                          |   |
|---|---|-----------------------------------|---|
| If Yes, attach a copy of all forms that are t   | used. If No, describe   | e below.                          |   |
| <ul> <li>30) Do you wire jaws closed for purposes of If Yes,</li> <li>a) Number performed in the last 12 mon</li> <li>b) Estimated number that will be performed</li> </ul> | ths   | year                              | C YES C NO                                  |
| 31) What percentage of your patients are un   | der age 18?   |                                   | $\bigcirc$                                  |
| 32) Do you perform any hospital emergency<br>If Yes, is this solely a requirement for acti<br>If No, provide a detailed description inclu                                   | ve admitting privile  | -                                 | ○ YES ○ NO ○ YES ○ NO n emergency room care |
| 33) If you perform any of the following proce<br>procedure is performed. (H= Hospital ; O   |   |                                   | l indicate where the<br>Location            |
| Acupuncture   |   | Cheek Implant                     |   |
| Adenoidectomy/Tonsillectomy   |   | Chemical Peel                     |   |
| <br>Anesthesia:   | and the second | Solution Strength (specify)       |   |
| General   |   | Chin Surgery                      |   |
| Twilight  | ~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~   | Cleft Lip and Palate Surgery      | · · · · · · · · · · · · · · · · · · ·       |
| Other-(describe)  |   | Cosmetic implantation of silicon  | ne or other                                 |
| Oral/Maxillofacial Surgery  |   | ─ material<br>┌─ Cosmetic Surgery |   |
| Other Surgery(describe)   |   |                                   |   |
|   |   | Cryosurgery                       |   |
| Extractions:  |   | Dental Alveolar Surgery           |   |
| Non-Impacted Teeth  |   | Dermabrasion/Microdermabras       | ion   |
| Impacted Teeth  |   | Dermal Fillers                    | <u>}</u>                                    |
| Surgery and Other Procedures:   | ·····   | ☐ Face Lift                       | <u>}</u>                                    |
| Biopsies (describe)   |   | Hair Transplants or Suture of Ha  | lirpieces                                   |
| Blepharoplasty  | ļ   | Laser Skin Resurfacing            | <u>}</u>                                    |
| Botox Injections  |   |                                   | S   |

#### Surgery and Other Procedures (Continued)

|     | Laser Surgery (describe)  | Pain Management (describe)  | ,                                     |        |
|-----|---|---|---------------------------------------|--------|
|     |   | Radiation Therapy   |                                       |        |
|     | Liposuction - above the neck (specify volume)                                       | Radiopaque dye injections into blood vessel<br>lymphatics, sinus tracts or fistulae | s,                                    |        |
|     | ()  | Reconstructive Plastic Surgery (describe)   | ,                                     | 2<br>< |
|     | Liposuction - below the neck:<br>under 3500 cc's volume<br>3500 cc's or more volume | Rhinoplasty<br>Sargenti Root Canal Method   | <br>                                  |        |
|     | Nerve Grafts  | Sinus Lift  | · · · · · · · · · · · · · · · · · · · |        |
|     | Open Reduction of Fractures   | TMJ Surgery   |                                       |        |
|     |   | Uvulopalatroplasty  | >                                     |        |
| 34) | Is analgesia, sedation or anesthesia used on patients?                              |   | C YES (                               | 🗇 NO   |
|     | If Yes, do you administer Local Anesthesia ONLY?                                    |   | C YES (                               | С NO   |
|     | If Local Anesthesia only, please continue to Q. 35.                                 |   |                                       |        |

If No, and you administer other types of anesthesia, PLEASE COMPLETE DENTIST'S ANESTHESIA SUPPLEMENTAL

| 35) Have you or any of your employees:   | 🔿 YES | C NO |
|--|-------|------|
| A. Ever been the subject of investigative or disciplinary proceedings or reprimanded by a governmental |       |      |
| or administrative agency, hospital, or professional association?                                       | C YES | O NO |
| Attach a copy of Complaint and Consent order document if applicable.                                   |       |      |

B. Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses? C YES C NO

C. Ever been treated for alcoholism or drug addiction or undergone personal psychiatric treatment or has any administrative agency, hospital or professional association requested or required you to be evaluated for an alleged mental condition and/or alcohol or drug addiction?
 C YES C NO
 D. Ever had any state professional license or license to prescribe or dispense narcotics refused, suspended, revoked renewal

refused or accepted only on special terms or ever voluntarily surrendered same? C YES C NO

E. Ever had any professional liability insurance cancelled, declined, refused to renew or accepted only on Special terms?

F. Ever failed any medical licensing or specialty organization examination?

G. Do you have any chronic illnesses or defects?

🔿 YES 🔿 NO

If Yes to any of the above questions, please provide full details below.

| <br> | <br> |  |
|------|------|--|
|      |      |  |
|      |      |  |
|      |      |  |
|      |      |  |

#### 37) List the prior medical malpractice insurance carried for each of the past 5 years beginning with most current:

| Insurance Company | Limits Of Liability                    | Policy Period    | <u>Premium</u> | Retro Date         |
|-------------------|--|------------------|----------------|--------------------|
|                   | Ş                                      | $\sum_{i=1}^{n}$ | Ç              | <u>,</u>           |
|                   | -                                      | \                | <u></u>        | \                  |
|                   | ` <u>}</u>                             | \                | }{             | $\left\{ \right\}$ |
|                   | `````````````````````````````````````` | <u>}</u>         | <u>}</u>       |                    |

\* Attach a copy of the declarations page of your most recent policy.

38) Do you own, operate or provide professional services for, or at, any dental or health care facility or business enterprise not already clearly described in this application?
 YES O NO If Yes, please describe:

| 39) | Has any claim or suit for alleged malpractice been brought against you?  |                    |
|-----|--|--------------------|
|     | If Yes, how many total claims or incidents:  |                    |
|     | Please complete the Supplemental Claim Information Form for this application for each and every claim. 10 years of currently valued company loss runs. | Also please attach |
| 40) | Has any claim or suit for alleged malpractice been made against you that has NOT been reported to a prior insurer?                                     | C YES C NO         |
|     | If Yes, Please complete the Supplemental Claim Information Form for this application for each and every  | claim.             |
| 41) | Are you aware of any acts, errors, omissions or circumstances which may result in a malpractice claim or suit being made or brought against you?       | ⊖ yes i⊂ no        |
|     | If Yes, please include details including name of claimant, date of occurrence, date of first contact, allegat status of incident.                      | ion and current    |
|     |  |                    |

I/We declare that I/we have reviewed this Application for accuracy before signing it, that the above statements and representations are true and correct, and that no facts have been suppressed or misstated. I/We understand that this is an application for insurance only and that the completion and submission of this Application does not bind the Company to sell nor the applicant to purchase this insurance. I/We nevertheless acknowledge that any contract of insurance issued by the Company in response to this Application will be in full reliance upon the statements and representations made in this Application and that this Application will be made part of the policy. I/We understand that any contract of insurance issued by the Company in response to this Application will be issued on a claims made form.

Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance, or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any material fact, commits a fraudulent insurance act, which is a crime and may also be subject to civil penalty.

I/We hereby declare that the above statements and particulars are true and I/we agree that this Application shall be the basis for any contract of insurance issued by the Company in response to it.

| Electronic Signature of<br>Applicant or Authorized | Current Date: |  |
|--|---------------|--|
| Representative:                                    |               |  |
| Title  |               |  |

#### If you prefer not to Return Application with an Electronic Signature, Please print and Sign Below:

The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this electronically submitted application does not bind the Company to sell nor the applicant to purchase this insurance, but any subsequent contract issued will be in full reliance upon the statements and representations made in this electronic application and this application will be made part of the policy. The applicant understands that any subsequent contract issued by the Company will be issued on a claims made form.

Signature of Applicant or Authorized Representative

Current Date:

Title

### Please attach copies of the following documents:

- CV or Resume
- Five years of currently valued company loss runs
- Copies of any disciplinary actions, stipulations orders or probation documents
- Copies of declaration pages for all employees or contractors that carry their own med mal
- Copy of applicant's most current declarations page

### Additional Comments or Details:

## Dentist's Anesthesia Supplemental

| 1) I | Is analgesia, sedation or anesthesia used on patients?   | 🔿 Yes 🔿 No            |
|------|--|-----------------------|
| a.   | . Local only.  | 🔿 Yes 🔅 No            |
| b.   | . Inhalation conscious sedation  | 🔿 Yes 🔿 No            |
|      | i Percentage of patients under 18: %   |                       |
|      | ii Drugs used:   |                       |
|      | iii Is sedation done in an office, surgi-center or hospital?   |                       |
|      | iv Administered by: 🔄 You 📋 Oral Surgeon 📄 Physician Anesthesiologist 🗌 Dent   | tist Anesthesiologist |
|      | CRNA RN/LPN Other:   |                       |
|      |  |                       |
| c.   | Oral conscious sedation using drugs that are swallowed.  | 🔿 Yes 🙃 No            |
|      | i Percentage of patients under 18:   |                       |
|      | ii List all drugs used:  |                       |
|      | iii Is sedation done in an office, surgi-center or hospital?   |                       |
|      | iv How long have you used conscious sedation in your office or surgical suite?   |                       |
|      | v Administered by: 🔄 You 📄 Oral Surgeon 📄 Physician Anesthesiologist 🗌 Dent  | tist Anesthesiologist |
|      | CRNA RN/LPN Other:   |                       |
| d.   | . Parental conscious sedation (minimally depressed level of consciousness that retains the patient's and continuously maintain an airway and respond appropriately to physical stimulation and verba |                       |
|      | pharmacological or non-pharmacological method, or combination thereof).  | 🔿 Yes 🔿 No            |
|      | If yes, answer the following:  |                       |
|      | i Percentage of patients under 18: %   |                       |
|      | ii List all drugs used:  |                       |
|      | iii Is sedation done in an office, surgi-center or hospital?   |                       |
|      | iv How long have you used parental conscious sedation in your office or surgical suite?  | ······                |
|      | v Administered by: 🗌 You 📄 Oral Surgeon 📄 Physician Anesthesiologist 🗌 Dentist   | t Anesthesiologist    |
|      | CRNA Other:  |                       |

| e. | Parental deep sedation (a controlled state of depressed consciousness accompanied by partial loss of protective reflexes, |  |            |          |        |  |  |
|----|---|--|------------|----------|--------|--|--|
|    |   | uding inability to respond purposely to verbal command, produce by pharmacological or non-pharm        | acolog     | gical m  | ethod, |  |  |
|    |   | combination thereof).  | $^{\circ}$ | Yes      | 🔿 No   |  |  |
|    | lf ye   | es, answer the following:  |            |          |        |  |  |
|    | i   | Percentage of patients under 18: %   |            |          |        |  |  |
|    | ii  | List all drugs used:   |            |          |        |  |  |
|    | iii   | Is sedation done in an office, surgi-center or hospital?   |            |          |        |  |  |
|    | v   | How long have you done parental deep sedation in your office?  |            |          |        |  |  |
|    | iv  | Administered by: You Oral Surgeon Physician Anesthesiologist Dentist Anest                             | nesiol     | ogist    |        |  |  |
|    |   | CRNA Other:  |            |          |        |  |  |
| f. | Gen   | neral anesthesia (a controlled state of unconsciousness accompanied by partial or complete loss of pro | otectiv    | ve refle | xes,   |  |  |
|    | inclu   | uding inability to independently maintain an airway and respond purposefully to verbal command, p      | oduc       | ed by a  | à      |  |  |
|    | pha   | rmacological or non-pharmacological method, or combination thereof.                                    | C          | Yes      | 🖱 No   |  |  |
|    | i   | Percentage of patients under 18: %   |            |          |        |  |  |
|    | ii  | List all drugs used:   |            |          | ······ |  |  |
|    | iii   | Is sedation done in an office, surgi-center or hospital?   |            |          | ·····  |  |  |
|    | iv  | How long have you used conscious sedation in your office or surgical suite?                            |            |          | ~~~~   |  |  |
|    |   | Administered by: You Oral Surgeon Physician Anesthesiologist Dentist Anest                             | nesio      | oaist    |        |  |  |
|    |   | CRNA Other:  |            | ogist    |        |  |  |
|    | A   |  |            |          |        |  |  |
| g. |   | Harvard Standards for the administration of all anesthesia adhered to?                                 | $^{\circ}$ | Yes      | ⊖ No   |  |  |
|    | If NC   | o, Please explain.   |            |          |        |  |  |
|    |   |  |            |          |        |  |  |
|    | Å   |  |            |          |        |  |  |
| 2) | a. Ha   | ave you completed an ACLS course?  | C          | Yes      | 🔿 No   |  |  |
|    | b. D  | o you hold an ACLS certificate?  | Ċ          | Yes      | 🔿 No   |  |  |
|    | lf  | Yes, what is the expiration date?  |            |          |        |  |  |
|    | lf  | No, are you currently CPR Certified?   | C          | ) Yes    | 🔿 No   |  |  |
|    | c Is  | any member of your operating staff currently CPR certified?  | C          | Yes      | 🔿 No   |  |  |

| 3) | a.    | Have you completed an ADA-accredited general anesthesia program of one year or longer?             | $\sim$     | Yes     | () No |
|----|-------|--|------------|---------|-------|
|    | b.    | Did your oral surgery training include 6 or more months of training in general anesthesia?         | $^{\circ}$ | Yes     | ⊖ No  |
|    | c.    | Have you taken at least two years of anesthesia training following dental school for certification |            |         |       |
|    |       | as an anesthesiologist?  | C          | Yes     | 🔿 No  |
| 4) | Are   | vital signs of your patients under sedation or general anesthesia continuously monitored?          | Yes        | $\circ$ | No    |
|    | lf Y€ | es, by whom? 🔄 You 🗌 CRNA 🔄 Dentist Anesthesiologist 📄 Other:                                      |            |         |       |

5) If you use any of the following methods to monitor patients, indicate by using S for sedation, G for general anesthesia or B for both.

|    | Manual monitoring of blood pressure an             | id heart rate.   |
|----|--|--|
|    | Precordial stethoscope.                            |  |
|    | Electronic/automatic monitoring of bloc            | od pressure and heart rate.  |
|    | EKG monitor.                                       |  |
|    | Pulse oximeter                                     |  |
|    | Other (describe)                                   |  |
| 6) | Which of the following items do you have availab   |  |
| 7) | Does the state you practice in require you to hold | a current certificate/permit to administer general anesthesia or intravenous |
|    | sedation?  | 🔿 Yes 🔿 No   |
|    | If Yes, provide the following:                     |  |
|    | Certificate Number:                                |  |
|    | Date of Renewal:                                   | ······································                                       |

I/We declare that I/we have reviewed this Application for accuracy before signing it, that the above statements and representations are true and correct, and that no facts have been suppressed or misstated. I/We understand that this is an application for insurance only and that the completion and submission of this Application does not bind the Company to sell nor the applicant to purchase this insurance. I/We nevertheless acknowledge that any contract of insurance issued by the Company in response to this Application will be in full reliance upon the statements and representations made in this Application and that this Application will be made part of the policy. I/We understand that any contract of insurance issued by the Company in response to this Application will be issued on a claims made form.

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I/We hereby declare that the above statements and particulars are true and I/we agree that this Application shall be the basis for any contract of insurance issued by the Company in response to it.

| Electronic Signature of<br>Applicant or Authorized<br>Representative: | SIGN HERE | Current Date: |  |
|---|-----------|---------------|--|
| Title   |           |               |  |

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| SIGN HERE   |               |
|---|---------------|
| Signature of Applicant or Authorized Representative | Current Date: |
| Title   | _             |

#### Please submit completed applications to submissions@cidinsurance.com Attn: Dan Mulligan Any questions, please call (800) 922-7283 or fax to (619) 593-2008